YAVAPAI REGIONAL CAPITAL

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ETHICS GUIDELINES

- 1. YRC's Ethics Committee comprises every member of the Company.
- 2. Explain things the way they are not the way you would wish them to be.
- 3. Walk towards problems.
- Any violations of ethics to be documented in a sworn affidavit if so requested by a Board Member.
- 5. Trust but verify especially where it involves people.
- 6. Public transparency requirements and laws to be observed at all times both in the spirit and by the letter.
- 7. Behave to others as you would have them behave to you.
- 8. Be frank with everyone but polite. If you think it you might as well say it.
- 9. Then give your team-mate the space to fail.
- 10. White lies are tolerated to save hurt feelings.
- 11. Client confidence to be respected at all times.
- 12. Conflicts are a sign of a busy company but declare them and recuse if necessary.
- 13. If it crosses your mind that you might be committing an ethics violation you probably already have.
- 14. \$1 of cash is the same as a \$1mm bribe.
- 15. Don't ask a third party to do something you would not do in their place.